

**MDOT Certification Form for Sub-Awards
Executive Compensation Reporting for Transparency Act**

On behalf of the entity named below, I certify that I have personally read and reviewed the executive compensation reporting requirements of the Federal Funding Accountability and Transparency Act of 2006, as amended by Section 6202 of the Government Funding Transparency Act of 2008 [FFATA], and certify under penalty of perjury pursuant to Section 97-9-61, Mississippi Code of 1972, as amended, the following:

Local Entity Name: Harrison County

Data Universal Numbering System (DUNS) Number : 020879268 (required)

The local entity listed below receiving federal funds from MDOT is **not** required to report executive compensation under the Federal Funding Accountability and Transparency Act of 2006, as amended by Section 6202 of the Government Funding Transparency Act of 2008 [FFATA].

The local entity listed below receiving federal funds from MDOT is **required** to report executive compensation under the Federal Funding Accountability and Transparency Act of 2006, as amended by Section 6202 of the Government Funding Transparency Act of 2008 [FFATA], listed below are the names and total annual compensation of the five most highly compensated officers of the entity:

NAME	TOTAL ANNUAL COMPENSATION
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

The entity acknowledges that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. §§ 1001 (false statements) and 1621 (perjury), and 28 U.S.C. §1746 (declarations under penalty of perjury). The entity also acknowledges that said projects, including certifications provided in connection with such projects and grants are subject to review by the Mississippi Department of Transportation, the Mississippi State Auditor, and the Mississippi Office of Attorney General.

Kim B. [Signature]
Entity Chief Official

11/27/2012
Date

Karen V. Olier

From: Joseph H. Spires
Sent: Tuesday, November 13, 2012 9:29 AM
To: Karen V. Olier; Valerie K. Hill
Subject: Fwd: FFATA Reporting Data October 2012 for MDOT federal aid projects
Attachments: Guidance for Federal Spending Transparency.pdf; Executive Compensation Certification(entity).pdf

Please complete and have BOS president sign.
Thanks.

Joseph Spires
Ladies and Gentlemen;

Please provide the requested information for your federal aid project listed below – Please submit the required documentation per the attached guidance and form. If you are not the correct person to receive this email, please forward to the appropriate person and cc me. I will try to make the correction in the future.

Please email the forms directly to Mr. Mike Thomas at stthomas2@mdot.ms.gov

This reporting is required until the final voucher has been made.

	PROJECT #	REPORT MONTH	REPORT YEAR	OPEN (Y OR N)	PROJECT TYPE	FFATA RPT MONTH
City of Gautier	106227LPA	June	2011	Y	LPA	June
City of Picayune	106227LPA	June	2011	Y	LPA	June
City of Gautier	105069LPA	October	2011	Y	LPA	October
Harrison Co.	104568LPA	October	2011	Y	LPA	October
City of Biloxi	105356LPA	November	2011	Y	LPA	November
City of Gulfport	105247LPA	April	2012	Y	LPA	April
City of Picayune	106400LPA	June	2012	Y	LPA	June
City of Gautier	106400LPA	June	2012	Y	LPA	June

Thanks,

David H. Seyfarth, PE, PS
Special Projects Engineer
Mississippi Department of Transportation
16499#B Highway 49
Saucier, MS 39574-9740
Telephone (228) 832-0682
State of MS Cell (228) 326-9130
Fax No. (228) 832-0681

CONFIDENTIALITY NOTE:

**Guidance for Federal Spending Transparency:
Subaward and Executive Compensation Data
Reporting Requirements for FFATA Implementation**

Summary

1. Prime awardees and sub awardees of Federal financial assistance must report executive compensation data if they meet the statutory reporting thresholds. For the Federal-aid Highway Program, this means that the State, and any subawardees of Federal-aid funds through the State, is subject to the executive compensation reporting requirement.

- names and total compensation of the five most highly compensated officers of the entity if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1).

Background

Beginning October 1, 2010, guidance directs compliance with the Transparency Act to report prime and first-tier subaward data. Federal agencies and prime awardees will report to ensure disclosure of Federal contract and grant subaward and executive compensation data.

The Transparency Act requires information disclosure concerning entities receiving Federal financial assistance through Federal awards such as Federal contracts, sub-contracts, grants, and sub-grants.

Specifically, the Transparency Act's section 2(b)(1) requires the Office of Management and Budget ("OMB") to ensure the establishment of a publicly available website that contains the following information about each Federal award:

- name of the entity receiving the award;
- amount of the award;
- information on the award including transaction type, funding agency, the North American Industry Classification System code or Catalog of Federal Domestic Assistance number, program source, award title descriptive of the purpose of each funding action;

- location of the entity receiving the award and primary location of performance under the award, including city, State, congressional district, and country;
- unique identifier of the entity receiving the award and the parent entity of the recipient, should the entity be owned by another entity; and
- names and total compensation of the five most highly compensated officers of the entity if the entity in the preceding fiscal year received 80 percent or more of its annual gross revenues in Federal awards; and \$25,000,000 or more in annual gross revenues from Federal awards; and the public does not have access to this information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. §§ 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. See FFATA § 2(b)(1).